

FOUNDATIONS INVESTMENT ADVISORS, LLC

REG S-P PRIVACY NOTICE

Effective Date: July 18, 2025

FACTS		WHAT DOES ADVISER FOUNDATIONS INVESTMENT ADVISORS, LLC (“FIA”) DO WITH YOUR PERSONAL INFORMATION?	
WHY?		Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?		<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none">▪ Social security number▪ Income▪ Assets▪ Risk tolerance▪ Wire/bank transfer instructions▪ Transaction history <p>When you are no longer our customer, we continue to share information about you as described in this notice.</p>	
HOW?		All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons FIA chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information		Does FIA Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations.		Yes	No
For our marketing purposes - to offer our products and services to you.		Yes	No
For joint marketing with other financial companies		Yes*	No
For our affiliates' everyday business purposes - information about your transactions and experiences.		Yes	No
For our affiliates' everyday business purposes –information about your creditworthiness.		No	Not Applicable
For our affiliates to market to you		Yes	Yes
For nonaffiliates to market to you		Yes	Yes
Questions?		Please call us at: +1.480.626.2979 or visit our website: www.fiwealth.com	

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Who we are	
Who is providing this notice?	Foundations Investment Advisors, LLC
What we do	
How does FIA protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does FIA collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Enter into an investment advisory contract; ▪ Seek financial advice; ▪ Make deposits or withdrawals from your account; ▪ Tell us about your investment or retirement portfolio; or ▪ Give us your employment history. <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>Our affiliates include companies with a common corporate identity, including the following: Magellan Financial & Insurance Services, LLC; Magellan Healthcare, LLC; Charter Law Group, LLC; Alpha 1 Tax and Wealth Management, LLC; Asset Preservation Tax & Retirement, LLC; Northern Alliance Financial, LLC; Omega Wealth Private Capital, LLC; and Enhance Design Group, LLC.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>Other than Joint Marketing as included below, FIA does not share with nonaffiliates so they can market to you.</i>
Joint Marketing	<p>FIA conducts its business through a network of licensed investment adviser representatives, many of whom are also state licensed insurance agents.</p> <ul style="list-style-type: none"> ▪ <i>FIA services may be discussed and marketed to you concurrently with other non-securities financial products (Note: FIA is not a licensed insurance company and does not provide investment advice about or recommend any insurance products).</i>
Other important information	
A copy of this privacy notice is available upon request by contacting +1.480.626.2979 or compliance@fiwealth.com .	